

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Frank et al.

Serial No.: 10/584,184

For: CLOSURE WITH A THERMAL SAFEGUARD FUNCTION

International
Filing Date: December 23, 2003

US Filing Date: December 13, 2004

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Confirmation No.: 1260

Customer No.: 27,623

Attorney Docket No.: WW061USU

**Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Anton Frank, Oliver NOHL and Harold HOFFELD;
- ☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: VOITH TURBO GMBH & CO. including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- ☐ Preliminary Amendment; and

___ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	20 - 20 =	0	x \$50.00	\$
Independent Claims	3 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

☒ A check in the amount of \$ 170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40) is enclosed.


☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

May 30, 2007
Date

06/01/2007 HKAYPAGH 00000064 10584184

01 FC:1617


130.00 OP


Charles N.J. Ruggiero
Attorney for Applicant(s)
Registration No. 28,468
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682
Telephone: (203) 327-4500
Telefax: (203) 327 6401

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV925821871US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on May 30, 2007.

Suzanne Lombardo
(Typed name of person mailing paper)


(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/584,184	Anton Frank	WW061USU

INTERNATIONAL APPLICATION NO.	
PCT/EP04/14190	
I.A. FILING DATE	PRIORITY DATE
12/13/2004	12/23/2003

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
 ONE LANDMARK SQUARE, 10TH FLOOR
 STAMFORD, CT 06901

CONFIRMATION NO. 1260

371 FORMALITIES LETTER



OC00000023131922

Date Mailed: 03/30/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/23/2006
- English Translation of the IA filed on 06/23/2006
- Copy of the International Search Report filed on 06/23/2006
- Copy of IPE Report filed on 06/23/2006
- Copy of Annexes to the IPER filed on 06/23/2006
- English Translation of Annexes to the IPE filed on 06/23/2006
- Preliminary Amendments filed on 06/23/2006
- Information Disclosure Statements filed on 06/23/2006
- U.S. Basic National Fees filed on 06/23/2006
- Priority Documents filed on 06/23/2006

RECEIVED
APR -3 2007
 OHLANDT, GREELEY,
 RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/584,184	PCT/EP04/14190	WW061USU

RECORDATION FORM COVER SHEET PATENTS ONLY

Mail Stop Assignment Recordation Services
DIRECTOR OF THE US PATENT AND TRADEMARK OFFICE
P.O. Box 1450
Alexandria, VA 22313-1450

Please record the attached original documents or copies thereof.

1. Name of conveying party(ies): **Anton FRANK, Oliver NOEHL
and Harold HOFFELD**

2. Name and address of receiving party(ies):
**VOITH TURBO GMBH & CO.
Alexanderstrasse 2
89522 Heidenheim, Germany**

3. Nature of conveyance:
XXXX Assignment _____ Merger
_____ Security Agreement _____ Change of Name
_____ Other: _____

Execution Date: **September 7, 2006, September 12, 2006 and September 7, 2006**

4. Application number(s) or application date(s):
If this document is being filed together with a new application, the execution date of the application is:

A. Application/Patent No. B. Application/Issue Date
10/584,184 **December 13, 2004**

5. Name and address of party to whom correspondence concerning this document should be mailed:

Charles N.J. Ruggiero
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682 U.S.A.

06/01/2007 MKAYPAGH 00000064 10584184

02 FC:8021

40.00 DP

6. Total No. of applications and patents involved: 1.

7. Total Fee (37 C.F.R. 3.41(h)) \$40.00/assignment.

XXXXX CUSTOMER NO.: **27623**

XXXXX Enclosed

_____ Authorized to be charged to deposit account.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 3.41 that may be required with this communication or credit any overpayment, to Deposit Account No. **01-0467**. A duplicate copy of this Form is enclosed.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles N.J. Ruggiero

Name of Person Signing


Signature

5/30/07

Date

Total number of pages including cover sheet, attachments and document: 5.